



Dept. IACS and Flag State Affairs

List of Certificates and Documents required on Board

Only certificates and documents required by international conventions and mandatory codes are listed. In addition Port and Flag States might have more extended requirements.

Hamburg, January 2010





Certificate or Document	Reference	Restrictions	Application										
			Passenger Vessel	Ro-Ro Passenger Vessel	Oil Tanker	Chemical Tanker	Gas Carrier	Bulk Carrier	Container Vessel	General Cargo Vessel	Passenger High Speed Craft	Cargo High Speed Craft	
1 SOLAS 74													
1.1 Certificates													
Passenger Ship Safety Certificate	Reg. I / 12	Vessels carrying more than 12 Passengers	X	X	-	-	-	-	-	-	-	-	-
Cargo Ship Safety Construction Certificate	Reg. I / 12	Cargo Vessels ≥ 500 GT	-	-	X	X	X	X	X	X	X	-	-
Cargo Ship Safety Equipment Certificate	Reg. I / 12	Cargo Vessels ≥ 500 GT	-	-	X	X	X	X	X	X	X	-	-
Cargo Ship Safety Radio Certificate	Reg. I / 12	Cargo Vessels ≥ 300 GT	-	-	X	X	X	X	X	X	X	-	-
Cargo Ship Safety Certificate ¹	Protocol 88 Reg. I / 12	Cargo Vessels ≥ 500 GT	-	-	X	X	X	X	X	X	X	-	-
International Ship Security Certificate	Reg. XI-2/1.12	Passenger Ships, MODU's	X	X	-	-	-	-	-	-	-	X	-
	ISPS Code A/19.2	Cargo Vessels ≥ 500 GT	-	-	X	X	X	X	X	X	X	-	X
Exemption Certificate	Reg. I / 12	Cargo Vessels ≥ 500 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	-	-
Document of Compliance with the special Requirements for Ships carrying Dangerous Goods	Reg. II – 2 / 19.4 (former 54.3) 1994 HSC Code 7.1.2.5 2000 HSC Code 7.17.4	Vessels carrying Dangerous Goods	X	X	-	-	-	-	X	X	X	X	X
Minimum Safe Manning Certificate	Reg. V / 14.2 (former 13 (b))	Cargo Vessels ≥ 500 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	X	X
Document of Authorization for the Carriage of Grain	Reg. VI / 9	Vessels carrying Grain in Bulk	-	-	-	-	-	X	-	X	-	-	-

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Conformance test report (LRIT) ³	Reg. V/19-1.6 MSC.1/Circ.1307	Cargo Vessels ≥ 300 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	X
International Code of Signals, up-to-date copy IAMSAR Manual, Volume III	Reg. V / 21	Cargo Vessels ≥ 300 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	X
Deviation Curve for Magnetic Compass	Reg. V / 19.2.1.3 (former 12 b (ii)) 1994 + 2000 HSC Code 13.2.3	Cargo Vessels ≥ 150 GT Passenger Vessels	X	X	X	X	X	X	X	X	X	X
Plan for SAR Co-operation	Reg. V / 7.3	Passenger Vessels	X	X	-	-	-	-	-	-	X	-
Nautical Publications	Reg. V / 19 + 27 (former 20)	Cargo Vessels Passenger Vessels	X	X	X	X	X	X	X	X	X	X
List of Operational Limitations	Reg. V / 30 (former 23) 1994 + 2000 HSC Code 18.2.2	Vessels trading on fixed routes	X	X	-	-	-	-	-	-	X	X
Cargo Securing Manual *	Reg. VI / 5.6 Reg. VII/5	Vessels ≥ 500 GT	-	X	-	-	-	-	-	X	X	-
Material Safety Data Sheets (entry into force 2009-07-01)	Reg. VI / 5-1	Ships carrying MARPOL Annex I cargoes and marine fuel oils			X							
Cargo-Handling Booklet	Reg. VI / 7.2	Vessels ≥ 500 GT carrying Bulk Cargoes	-	-	-	-	-	-	X	-	-	-
Cargo Log-Book	Reg. VI / 7.8	Vessels ≥ 500 GT carrying Bulk Cargoes	-	-	-	-	-	-	X	-	-	-
Dangerous Goods Manifest or Stowage Plan	Reg. VII / 5 MARPOL Annex III Reg. 4	Vessels carrying Dangerous Goods	X	X	-	-	-	-	-	X	X	X



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Enhanced Survey Report File	Reg. XI-1 / 2 Res. A.744 (18)	Bulk Carriers acc. to IX/1.6 and Oil Tankers acc. to II-1/2.12	-	-	X	-	-	X	-	-	-	-
Copy of IBC Code	Reg. VII/8 IBC Code Reg. 16.2.1 IGC Code Sec. 1.5.4.3	Vessels carrying Dangerous Chemicals in Bulk, built on or after 1 July 1986	-	-	-	X	X	-	-	-	-	-
Copy of IGC Code	Reg. VII/11 IGC Code Reg. 18.1.3	Vessels carrying Liquefied Gases in Bulk, built on or after 1 July 1986	-	-	-	-	X	-	-	-	-	-
2 MARPOL 73 / 78 Annex I												
2.1 Certificates												
International Oil Pollution Prevention Certificate (IOPP Certificate)	Reg. 7.1 (former reg. 5)	Oil Tankers ≥ 150 GT Other Vessels ≥ 400 GT	X	X	X	X	X	X	X	X	X	X
Statement of Compliance with CAS (as a supplement to ship's IOPP Certificate)	Reg. 20.6 (former 13G(6) (7), 21.6 (former 13H(6))	non-double hull oil tankers ≥ 5 000 tdw	-	-	X	-	-	-	-	-	-	-
2.2 Plans and Documents												
Dedicated Clean Ballast Tank Operation Manual *	Reg. 18.8 (former 13A)	Existing Product Carriers ≥ 40 000 tdw operating with Dedicated Clean Ballast Water Tanks	-	-	X	-	-	-	-	-	-	-
Operations and Equipment Manual for Crude Oil Washing Systems *	Reg. 33 (former 13B)	Existing Crude Oil Tankers ≥ 40 000 tdw New Crude Oil Tankers ≥ 20 000 tdw	-	-	X	-	-	-	-	-	-	-

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			Passenger Vessel	Ro-Ro Passenger Vessel	Oil Tanker	Chemical Tanker	Gas Carrier	Bulk Carrier	Container Vessel	General Cargo Vessel	Passenger High Speed Craft	Cargo High Speed Craft
Record of Oil Discharge Monitoring and Control System for the last Ballast Voyage	Reg. 31.2, 31.3, 36.6 (former 15(3)(a))	Oil Tankers ≥ 150 GT	-	-	X	-	-	-	-	-	-	-
Oil Discharge Monitoring and Control System Operational Manual *	Reg. 31.4 (former 15(3)a)	Oil Tankers ≥ 150 GT	-	-	X	-	-	-	-	-	-	-
Oil Record Book, Part 1 (Machinery Space Operations)	Reg. 17.1, 36.1 (former 20)	Oil Tankers ≥ 150 GT Other Vessels ≥ 400 GT	X	X	X	X	X	X	X	X	X	X
Oil Record Book, Part 2 (Cargo / Ballast Operations)	Reg. 17.2, 36.2 (former 20)	Oil Tankers ≥ 150 GT	-	-	X	-	-	-	-	-	-	-
Damage / Survival Capability Information *	Reg. 28 (former 25)	Oil Tankers, Chemical Tankers, Gas Carriers ≥ 150 GT	-	-	X	X	X	-	-	-	-	-
Shipboard Oil Pollution Emergency Plan *	Reg. 37 (former reg. 26)	Oil Tankers ≥ 150 GT Other Vessels ≥ 400 GT	X	X	X	X	X	X	X	X	X	X
3 MARPOL 73 / 78 Annex II												
3.1 Certificates												
International Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk	Reg. 7 (former 12 A IBC Code 1.5.4.1)	Chemical Tankers built on or after 1 July 1986	-	-	-	X	-	-	-	-	-	-
Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk	Reg. 7 (former 12 A BCH Code Sec. 1.6.3.1)	Vessels carrying Dangerous Chemicals in Bulk, built before 1 July 1986	-	-	-	X	-	-	-	-	-	-
International Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk (NLS Certificate)	Reg. 9 (former 11)	Vessels carrying Noxious Liquid Substances in Bulk and not holding a Certificate of Fitness	-	-	X	-	X	-	X	X	-	-

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9 International Convention on the Control of Harmful Anti-fouling Systems on Ships, AFS Convention												
International Anti-fouling System Certificate ⁶	Annex 4	Ships ≥ 400 GT	X	X	X	X	X	X	X	X	X	X
International Anti-fouling System Declaration ⁶	Annex 4	Ships ≥ 24 m and < 400 GT	X	X	X	X	X	X	X	X	X	X
10 STCW 1978 / 95												
Certificates for Masters, Officers and Ratings	Art. VI, Reg. I/2, STCW Code A- I/2	Seafarers serving on Board Merchant Vessels	X	X	X	X	X	X	X	X	X	X
11 Special Trade Passenger Ships Agreement (Pilgrim Trade)												
Special Trade Passenger Ships Certificate	Reg. 6	Special Trade Passenger Ships ⁶	X	X	-	-	-	-	-	-	-	-
12 Space Requirements for Special Trade Passenger Ships (Pilgrim Trade)												
Special Trade Passenger Ships Space Certificate	Rule 5	Special Trade Passenger Ships ⁷	X	X	-	-	-	-	-	-	-	-
13 Bulk Chemical Code												
Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk	refer to MARPOL Annex II											
14 Gas Carrier Code (not mandatory)												
Certificate of Fitness for the Carriage of Liquefied Gases in Bulk	Section 1.6	Vessels carrying Liquefied Gases in Bulk, built before 1 July 1986	-	-	-	-	X	-	-	-	-	-

* These Plans and Documents have to be approved by the Administration or an organisation authorized by them.

¹ A flag State may choose to have: A Cargo Ship Safety Certificate may be issued under the provisions of the HSSC Regulations to a Cargo Ship as an alternative to the Cargo Ship Safety Construction-, Cargo Ship Safety Radio - and Cargo Ship Safety Equipment Certificate.

² A damage control plan/booklet is not required by the relevant Convention/Code. For classification matters only, Germanischer Lloyd requires that for each ship a damage control plan is to be prepared, for which a damage stability calculation is to be performed.

³ For details on application refer to RESOLUTION MSC.202(81)

⁴ MARPOL Annex IV entered into force on the 27th of September 2003. For "Existing ships" the International Sewage Pollution Prevention Certificate is required 5 years after the date of entry into force of this Annex.

⁵ Regulation 11.1.1 of MARPOL 73/78 Annex IV has been updated to require that the moderate rate of discharge is to be calculated in accordance with MEPC.157(55). The results of these calculations shall be approved by the Administration and be placed onboard (For details on application refer to Reg. 11.1.1 of MARPOL 73/78 Annex IV). Resolution MEPC.157(55) provides a guideline on how to calculate the moderate rate of discharge.

⁶ The date of entry into force of the AFS Convention is 17th September 2008, present requirements for such Certificates or equivalent Statements of Compliance are based on national legislation, primarily by member states of the European Union (based on EU Regulation (EC) No 782/2003, in force since May 2003).

⁷ "Special Trade" means the conveyance of large numbers of Special Trade Passengers (Pilgrims) by sea on international voyage within specified areas; a "Special Trade Passenger" means a passenger carried in Special Trades with spaces on the weather deck, upper deck and / or between decks which accommodate more than 8 passengers.

⁸ Survey and issuance only if required by flag State or wished by owners.

⁹ For provision, hose handling and machinery cranes national regulations may apply.

¹⁰ There are other requirements for special types of vessels.

Notes:

1. Only certificates and documents required by international conventions and mandatory codes are listed. In addition Port and Flag States might have more extended requirements.

2. All plans, manuals and other documents required by the various IMO conventions to be carried on board ships constructed on or after 1 July 2005 should be marked with the IMO ship identification number in a clearly legible and unambiguous manner (MSC. Circular 1142).

Disclaimer

This list has been prepared using the best information currently available. The information provided is intended purely as guidance; there use is at the users own risk. No responsibility is accepted by Germanischer Lloyd for any consequences whatsoever resulting directly or indirectly from the use of this information.

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